



PRIVACY POLICY

POLICY ON CONFIDENTIALITY OF OUR CLIENTS' INFORMATION

In the course of doing business with Austin Financial Strategies you share personal and financial information with us. We treat this information as confidential and recognize the importance of protecting access to it. You may provide information when communicating with us in writing, electronically, or by phone. For instance, information may come from your applications, your requests for forms or literature or your transactions with us. On occasion, such information may come from consumer reporting agencies and those providing services to us.

We do not sell information about our clients (whether current or former) to third parties. We do not disclose this information concerning clients or former clients to third parties unless it is necessary to process a transaction, service an account, or as may otherwise be legally permitted or required.

We maintain physical, electronic and procedural safeguards to protect information about our clients.

Access to such information is limited to those who need it to perform their jobs, such as servicing your accounts or resolving problems or to inform you of products or services. Our Compliance Manual as well as our contracts with employees and agents restrict the use of your nonpublic personal information to those who need it to perform their jobs, such as servicing your accounts or resolving problems, and require that it be held in strict confidence.

We may share information with our broker-dealer or an insurance company or investment firm in the course of providing or offering products and services to best meet your investing needs. We may also share that information with companies performing administrative or marketing services for us or with a business partner with whom we are developing or offering investment products. However, use of information about our clients in such cases is severely restricted – the other party is prohibited from sharing or using it for any other purpose.

Finally, we may share your information with another broker/dealer or RIA in order to assist in the timely transition of your account in the event that your financial adviser leaves us and becomes associated with another securities or advisory firm. If you would prefer that we not disclose your information in that circumstance, please let us know by contacting us at:

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